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19	Arizona Corporation Commission Court Reporting, Video & Videoconferencing DOCKETED 1802 N. 7th Street, Phoenix, AZ 85006 602-258-1440 mh@coashandcoash.com MAY 2 0 2014					
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22	By: KAREN L. KESSLER, RPR					
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1	BE IT REMEMBERED that the above-entitled and						
2	numbered matter came on regularly to be heard before the						
3	Arizona Corporation Commission, in Hearing Room 2 of						
4	said Commission, 1200 West Washington Street, Phoenix,						
5	Arizona, commencing at 10:05 a.m., on the 8th of May,						
6	2014.						
7							
8 9	BEFORE: MARC E. STERN, Administrative Law Judge						
10							
11	APPEARANCES:						
12 13	For the Arizona Corporation Commission Securities Division: Mr. Ryan Millecam Staff Attorney, Securities Division						
14							
15	1300 West Washington Street Phoenix, Arizona 85007						
16	For the Respondent:						
17	WHITLEY LEGAL GROUP, PC By Mr. Jeffrey C. Whitley 17470 North Pacesetter Way						
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19	Scottsdale, Arizona 85255						
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22	KAREN L. KESSLER, RPR						
23	Certified Reporter Certificate No. 50821						
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- 1 ALJ STERN: We're on the record in the matter of
- 2 Monica Catlin, et al., in Docket No. S-20903A-13-0473.
- 3 My name is Marc Stern. I'll preside this
- 4 morning.
- 5 And present for the Securities Division?
- 6 MR. MILLECAM: Ryan Millecam for the Securities
- 7 Division.
- 8 ALJ STERN: And you're here for Ms. Luedtke?
- 9 MR. MILLECAM: Yes.
- 10 ALJ STERN: And for the respondents?
- 11 MR. WHITLEY: Jeff Whitley for Monica Catlin and
- 12 the other respondents.
- 13 ALJ STERN: Okay. As I started out before we
- 14 went on the record here, have you all had a chance to
- 15 discuss the matter, or what's the status?
- MR. MILLECAM: Yes, we have. The status is that
- 17 the parties have reached most of the main provisions of
- 18 a consent agreement, and we anticipate that it will be
- 19 finalized. There are still some numbers for the parties
- 20 to agree on. We anticipate getting it done in time for
- 21 the June open meeting.
- Like I said, there's still a few loose ends, and
- 23 so what we would like to do is schedule a status
- 24 conference about 60 days out, second week of July.
- 25 ALJ STERN: Okay.

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MR. MILLECAM: We anticipate that that status 1 2 conference may not happen, it may be unnecessary, in which case, we'll file a motion to cancel it. 3 ALJ STERN: Okay. 4 5 MR. MILLECAM: But that's where we're at right 6 now. ALJ STERN: So sometime in the last two weeks of 7 July I can schedule a status conference, somewhere in 8 9 that timeframe? MR. MILLECAM: Yes, Your Honor. 10 11 ALJ STERN: Okay. Is that agreeable to you 12 Mr. Whitley? MR. WHITLEY: Yes, Your Honor. 13 ALJ STERN: Okay. Anything else? 14 MR. MILLECAM: That's all from the Division. 15 16 ALJ STERN: Okay. Good luck. Hope you get the 17 consent order done. And we'll schedule a status conference, and the Division will file a motion to 18 19 vacate if the matter gets resolved. 20 Thank you. That concludes this Okav. 21 proceeding at this time. 22 (The proceedings concluded at 10:07 a.m.) 23 24

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1	STATE OF ARIZONA)) ss.
2	COUNTY OF MARICOPA)
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7	I, KAREN L. KESSLER, RPR, Certified Reporter
8	No. 50821 for the State of Arizona, do hereby certify
9	that the foregoing printed pages constitute a full, true
10	and accurate transcript of the proceedings had in the
11	foregoing matter, all done to the best of my skill and
12	ability.
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14	WITNESS my hand this 12th day of May, 2014.
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18	Moran & Messela
19	KAREN L. KESSLER, RPR Certified Reporter
20	Certificate No. 50821
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